

03/05/02  
1135 U.S. PTO

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03-07-0

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1474-I-CONT-CIP(2)  
First Inventor Ronald N. Kessler  
Title FLOOR MAT SYSTEM  
Express Mail Label No. EV032892202US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total 19]
5. Oath or Declaration [Total Pages]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/411,969

Prior application information: Examiner Thomas, A.

Group / Art Unit 1772

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

000027542

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |                                    |           |                |          |                |
|---------|------------------------------------|-----------|----------------|----------|----------------|
| Name    | Fred H. Zollinger, III             |           |                |          |                |
|         | SAND & SEBOLT                      |           |                |          |                |
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Name (Print/Type) Fred H. Zollinger, III

Registration No. (Attorney/Agent) 39,438

Signature

*[Signature]*

Date

3.5.02

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                    |
|----------------------|--------------------|
| Application Number   | Unknown            |
| Filing Date          | Herewith           |
| First Named Inventor | Ronald N. Kessler  |
| Examiner Name        |                    |
| Group Art Unit       |                    |
| Attorney Docket No.  | 1474-I-CONT-CIP(2) |

**TOTAL AMOUNT OF PAYMENT** **\$370.00**

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-0083**  
Deposit Account Name

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description        | Fee Paid        |
|---------------------|-----------------------|-------------------|-----------------------|------------------------|-----------------|
| 101                 | 740                   | 201               | 370                   | Utility filing fee     | 370.00          |
| 106                 | 330                   | 206               | 165                   | Design filing fee      |                 |
| 107                 | 510                   | 207               | 255                   | Plant filing fee       |                 |
| 108                 | 740                   | 208               | 370                   | Reissue filing fee     |                 |
| 114                 | 160                   | 214               | 80                    | Provisional filing fee |                 |
| <b>SUBTOTAL (1)</b> |                       |                   |                       |                        | <b>\$370.00</b> |

### 2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------|----------------|----------|
| 0            | -20** = 0    | X              | 0.00     |
| 0            | -3** = 0     | X              | 0.00     |
| 0            |              |                |          |

### Large Entity Small Entity

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid      |
|---------------------|-----------------------|-------------------|-----------------------|--|---------------|
| 103                 | 18                    | 203               | 9                     | Claims in excess of 20                                     |               |
| 102                 | 84                    | 202               | 42                    | Independent claims in excess of 3                          |               |
| 104                 | 280                   | 204               | 140                   | Multiple dependent claim, if not paid                      |               |
| 109                 | 84                    | 209               | 42                    | ** Reissue independent claims over original patent         |               |
| 110                 | 18                    | 210               | 9                     | ** Reissue claims in excess of 20 and over original patent |               |
| <b>SUBTOTAL (2)</b> |                       |                   |                       |  | <b>\$0.00</b> |

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Code   | Large Entity Fee (\$) | Small Entity Code | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------------|-------------------|-----------------------|--|----------|
| 105                 | 130                   | 205               | 65                    | Surcharge - late filing fee or oath  |          |
| 127                 | 50                    | 227               | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130                   | 139               | 130                   | Non - English specification  |          |
| 147                 | 2,520                 | 147               | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                 | 920*                  | 112               | 920*                  | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840*                | 113               | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110                   | 215               | 55                    | Extension for reply within first month                                     |          |
| 116                 | 400                   | 216               | 200                   | Extension for reply within second month                                    |          |
| 117                 | 920                   | 217               | 460                   | Extension for reply within third month                                     |          |
| 118                 | 1,440                 | 218               | 720                   | Extension for reply within fourth month                                    |          |
| 128                 | 1,960                 | 228               | 980                   | Extension for reply within fifth month                                     |          |
| 119                 | 320                   | 219               | 160                   | Notice of Appeal   |          |
| 120                 | 320                   | 220               | 160                   | Filing a brief in support of an appeal                                     |          |
| 121                 | 280                   | 221               | 140                   | Request for oral hearing   |          |
| 138                 | 1,510                 | 138               | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                 | 110                   | 240               | 55                    | Petition to revive - unavoidable   |          |
| 141                 | 1,280                 | 241               | 640                   | Petition to revive - unintentional   |          |
| 142                 | 1,280                 | 242               | 640                   | Utility issue fee (or reissue)   |          |
| 143                 | 460                   | 243               | 230                   | Design issue fee   |          |
| 144                 | 620                   | 244               | 310                   | Plant issue fee  |          |
| 122                 | 130                   | 122               | 130                   | Petitions to the Commissioner  |          |
| 123                 | 50                    | 123               | 50                    | Processing fee under 37, CFR § 1.17(q)                                     |          |
| 126                 | 180                   | 126               | 180                   | Submission of Information Disclosure Statement                             |          |
| 581                 | 40                    | 581               | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 740                   | 246               | 370                   | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                 | 740                   | 249               | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                 | 740                   | 279               | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900                   | 169               | 900                   | Request for expedited examination of a design application                  |          |
| Other fee (specify) |                       |                   |                       |  |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

## SUBMITTED BY

Name (Print/Type) **Fred H. Zollinger, III**

Registration No. (Attorney/Agent)

39,438

## Complete (if applicable)

Telephone

(330) 244-1174

Signature

*Fred H. Zollinger, III*

Date

3.5.02

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Ronald N. Kessler

Docket No.

1474-I-CONT-CIP(2)

Serial No.

Unknown

Filing Date

Herewith

Examiner

Group Art Unit

Invention: **FLOOR MAT SYSTEM**

I hereby certify that the following correspondence:

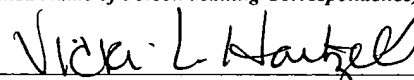
Patent Application, drawing pages, Application Data Sheet, Transmittal, Fee Transmittal

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 5, 2002*(Date)*

Vicki L. Hartzell

*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*

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